313 Ga.App. 209 Court of Appeals of Georgia.

HAWKINS et al.

v.

DeKALB MEDICAL CENTER, INC. et al. DeKalb Medical Center, Inc. et al.

v.

Hawkins et al.

Nos. A11A1006, A11A1007. | Nov. 18, 2011. | Reconsideration Denied Dec. 6, 2011. | Certiorari Denied April 24, 2012.

### **Synopsis**

Background: Action was brought on behalf of patient's child and by administrator of patient's estate against medical center, neurologist, and neurologist's limited liability company, for wrongful death premised on alleged tortious termination of life support after decision was made to terminate mechanical ventilation following diagnosis that patient was brain dead. The trial court denied medical center's motion to dismiss, and medical center appealed. The Court of Appeals, 655 S.E.2d 823, affirmed. Following remand, amended complaint was filed, naming additional defendants, and administrator added claims for breach of contract and vicarious liability against medical center. The State Court, DeKalb County, Gordon, J., entered summary judgment in favor of medical center and others defendants, and administrator appealed.

**Holdings:** The Court of Appeals, Phipps, P.J., held that:

- [1] whether patient was brain dead prior to final apnea test, following which mechanical ventilation was terminated, presented specialized medical question that required medical expert testimony;
- [2] administrator failed to create fact issue as to whether patient was brain dead prior to final apnea test, as required to survive summary judgment on claim for wrongful death;
- [3] administrator's evidence did not create fact issue as to whether lack of consent to final apnea test or decision to terminate mechanical ventilation was cause of patient's death;
- [4] administrator could not recover against medical center on claim for breach of contract to extent that claim was premised

on unsupported allegation that patient's death was caused by lack of consent; and

[5] administrator could not recover for past and future lost wages of patient.

Affirmed in part and reversed in part.

West Headnotes (10)

## [1] Health

## Weight and sufficiency of evidence

Whether patient was brain dead prior to final apnea test, following which mechanical ventilation and other life support were terminated, presented specialized medical question that required medical expert testimony, in action for wrongful death due to alleged tortious termination of life support.

Cases that cite this headnote

## [2] Judgment



Deposition testimony by patient's friend that she observed patient "crying" while reading and talking to patient near "end of January" did not create fact issue as to whether patient was brain dead prior to final apnea test performed in mid-March, which test patient "failed" and served as basis for determination to terminate mechanical ventilation, as required for administrator of patient's estate to survive summary judgment in action against medical center and health care providers for wrongful death due to tortious termination of life support.

Cases that cite this headnote

#### [3] Judgment



Witness' deposition testimony that, on day of patient's final apnea test to determine brain activity, witness and family were gathered around patient's bed, that they were talking and

praying, that during this time they observed patient move "her finger, thumb or index," and that when patient's mother pleaded with her to wake up, patient moved her hand, did not create fact issue whether patient was brain dead prior to final apnea test, which test patient "failed," and formed basis for determination to terminate further mechanical ventilation, as required for administrator of patient's estate to survive summary judgment in action brought against medical center and health care providers for wrongful death on grounds of tortious termination of life support; physician testified that any hand movement had no clinical significance, neurologist performed thorough neurological examination immediately after and found no indication of any brain function, and neurologist deposed that under these circumstances, hand movement was no indication of independent brain stem function, but was manifestation of working peripheral nervous system.

Cases that cite this headnote

### [4] Judgment



Observation by patient's mother that patient was "overbreathing ventilator," which indicated discrepancy between number of patient breaths per minute being registered by machine and ventilator's setting, did not create fact issue whether patient was brain dead immediately prior to final apnea test, which test patient "failed" and formed based for decision to terminate mechanical ventilator, as required for administrator of patient's estate to survive summary judgment in action against medical center and health care providers for wrongful death based on tortious termination of life support; neurologist deposed that he could not explain such discrepancy but that it did not necessarily mean that there was brain or brain stem function, and that several neurological tests were performed which indicated no brain function or brain stem function.

Cases that cite this headnote

## [5] Judgment



Birth of patient's child in months after patient was admitted to hospital with severe brain trauma, together with autopsy report of patient's lactating breasts, did not create fact issue as to whether patient was brain dead just prior to final apnea test, which patient "failed," and formed basis for decision by health care providers to terminate mechanical ventilation, as required for administrator of patient's estate to survive summary judgment in action for wrongful death due to alleged tortious termination of life support; circumstances of baby's birth did not indicate any brain or brain stem function, and both pathologists who conducted patient's autopsy concluded that patient had been brain dead for many months prior to autopsy.

Cases that cite this headnote

### [6] Judgment



Even assuming conflict in evidence as to whether patient's mother consented to final apnea test to determine level of brain or brain stem function and whether mother consented to termination of mechanical ventilation, such conflict did not create fact issue as to whether such lack of consent was cause of patient's death, as required for administrator of patient's estate to survive summary judgment in action brought against medical center and health care providers for wrongful death due to lack of informed consent, absent any evidence indicating that patient's death was caused by any lack of consent.

Cases that cite this headnote

## [7] Judgment

Weight and sufficiency

A plaintiff cannot avoid summary judgment by pointing to contradictory evidence in the record on an issue that makes no difference to the legal analysis.

Cases that cite this headnote

#### [8] Health

Substituted judgment; role of courts, physicians, guardians, family or others

Alleged failure by medical center's health care providers to obtain consent of patient's mother to final apnea test to determine presence of brain or brain stem function, which examination patient "failed," and which failure formed basis for decision by health care providers to terminate mechanical ventilation, did not cause patient's death, and thus, did not permit recovery by patient's estate for damages based on alleged breach of admission consent form, in action against medical center, where examination was only to determine whether or not patient was already brain dead, which test did in fact confirm.

Cases that cite this headnote

### [9] Assault and Battery

Nature and Elements of Assault and Battery

#### Health

What constitutes medical battery in general

#### Health

Surgical procedures

An action for battery arises in the medical context when a medical professional makes unauthorized contact with a patient during examination, treatment, or surgery.

Cases that cite this headnote

### [10] Death

#### Loss of Prospective Pecuniary Benefits

Administrator of patient's estate could not recover past or future lost wages from medical center and health care providers arising out of providers' determination that patient who had presented to medical center with severe head trauma was brain dead, which determination formed basis for decision to terminate mechanical ventilation, where patient suffered traumatic injury before any alleged malpractice by health care providers which had already rendered her incapable of any future employment.

#### Cases that cite this headnote

### **Attorneys and Law Firms**

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#### **Opinion**

PHIPPS, Presiding Judge.

\*209 Tara Hawkins sustained head trauma and was taken by ambulance to the emergency room at DeKalb Medical Center. She was 18 years old, unconscious, intubated, and pregnant. During several months of hospitalization there, Tara Hawkins never regained consciousness and was maintained with lifesustaining treatment, including the support of mechanical ventilation. Eventually, physicians at the hospital advised her mother, Nonnie Hawkins, of their concern that Tara Hawkins had likely suffered brain death; even if Tara Hawkins had, they advised Nonnie Hawkins, medical intervention could possibly preserve the life of the fetus until viability. After the baby was born, testing conducted upon Tara Hawkins confirmed for several treating physicians that she was brain dead. Tara Hawkins was thus pronounced dead; the mechanical ventilation was terminated, and all other lifesustaining treatment was ended. Nonnie Hawkins would later depose, "I never believed she was brain dead" and that "[t]hey just killed my child and told me she was dead."

This lawsuit was filed by Nonnie Hawkins, as representative of E.H., a minor and sole survivor and child of Tara Hawkins, decedent; and as administrator of the estate of Tara Hawkins. Nonnie Hawkins (hereinafter "Hawkins") set forth both tort and contract causes of action against numerous health care providers, which claims were premised upon the defendants' conduct during the process that culminated in

the termination of mechanical ventilation and all other lifesustaining measures. Pertinent to these appeals are rulings on summary judgment motions. In Case No. A11A1006, we affirm the trial court's grant of partial summary judgment in favor of several defendants (with respect to certain damages); in Case No. A11A1007, we reverse the trial court's denial of summary judgment motions filed by several defendants on the ground that Hawkins had failed to adduce evidence giving rise to a triable issue (with respect to all claims against them).

Summary judgment is proper "if the pleadings, depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to a judgment as a matter of law." I "In our de novo review of the grant [or denial] of a motion for summary judgment, we must view the evidence, and all \*210 reasonable inferences drawn therefrom, in the light most favorable to the nonmovant." 2

#### The Evidence

Thus viewed in the light most favorable to Hawkins, the record shows the following. Tara Hawkins was transported to DeKalb Medical Center on November 22, 2003. Because she was unconscious, her mother signed, as her representative, an "Admission Consent Form." It included in the "Consent to Routine Procedures & Treatments" section:

During the course of my care and treatment, I understand that various types of tests, diagnostic or treatment procedures ("Procedures") may be necessary. These Procedures may be performed by physicians, nurses, physician technicians, assistants or other healthcare professionals ("Healthcare Professionals").... The Procedures may include, but are not limited to the following: ... Physical tests, assessments and treatments such as vital signs ... and other similar procedures.... I consent to Health Professionals performing Procedures as they may deem reasonably necessary or desirable in the exercise of their professional judgment, including those Procedures

that may be unforeseen or not known to be needed at the time this consent is obtained.

\*\*135 Tara Hawkins's initial physicians were emergency medicine doctor Sean Sue, M.D. and pulmonologist Mark Pollock, M.D. She was soon diagnosed as being in a dense coma. And because Tara Hawkins was experiencing seizures, Dr. Pollock requested a consultation with a neurologist, Marshall Nash, M.D.

On November 23, an electroencephalogram (EEG) was performed, the results of which Dr. Nash interpreted as consistent with a global anoxic brain injury; the neurologist believed at that point that brain death in Tara Hawkins was "probably inevitable." On November 24, in an effort to stop Tara Hawkins's ongoing seizures, Dr. Nash recommended to Hawkins that the fetus be aborted to allow for more oxygen consumption by Tara Hawkins's brain cells. Hawkins rejected the recommendation.

\*211 Meanwhile, radiological studies indicated that Tara Hawkins's clinical status had deteriorated since the initial CT scan. A CT scan taken on November 25 showed "a subarachnoid hemorrhage, which [was] consistent with trauma, cerebral edema presumably secondary to the hemorrhage." By that date, Dr. Nash had reached the opinion that brain death in Tara Hawkins was "present or imminent," which he expressed to Hawkins. On November 26, Dr. Nash met with an obstetrician specializing in high-risk pregnancies, who informed him of her opinion that the then-12-week fetus could be maintained through the point of viability. As the obstetrician requested, on about November 29, another EEG was performed on Tara Hawkins; Nash interpreted it on December 1 as demonstrating electrocerebral silence. Within days, Hawkins fired both Dr. Nash and the pulmonologist with whom he had consulted, Dr. Pollock.

Added to Tara Hawkins's medical team the first week of December were neurologist Albert Cook, M.D. and pulmonologists Harold Jackson, M.D. and David Snyder, M.D. During the next three–and–a–half months, Tara Hawkins was subjected to extensive neurological testing by numerous physicians to evaluate whether there was any brain function or brain stem function. According to Dr. Cook, the last part of a brain death evaluation was the performance of an apnea test, which, he described, "involves preoxygenation of the patient and then adjustment of the ventilator, and then observation of monitors and measurement of blood gases."

This remaining test (to confirm or dispel brain death) could not be performed, however, because of its potential harm to the fetus. According to Dr. Cook, patients who "fail" the final apnea test are declared dead.

On March 16, 2004, E.H. was born. No longer posing any threat to the baby's life, more thorough examination of Tara Hawkins could then be performed to assess her clinical status. Dr. Jackson discussed with Hawkins that brain death testing (including apnea testing) would be conducted. That day, March 16, Dr. Cook performed the first of two final neurologic evaluations of Tara Hawkins, which revealed no evidence of any brain activity. That same day, the first of two apnea tests was performed by Dr. Jackson, who deposed that the apnea test did not inflict any harm or injury to Tara Hawkins. Dr. Jackson determined that Tara Hawkins "failed," having demonstrated no spontaneous respiration. As Dr. Snyder described, during an apnea test, "we take them off the ventilator and put them on blow-by." <sup>3</sup> Dr. Cook determined that the results of the testing were \*212 consistent with brain death. Mechanical ventilation was reimplemented.

The next day, Dr. Jackson discussed with Hawkins that repeat brain death testing would be conducted and that, in the event "[Tara Hawkins] didn't pass the apnea testing," mechanical ventilation would not be reimplemented. Meanwhile, a final EEG was ordered and interpreted by Dr. Cook; it demonstrated "electrocerebral silence, absence of brain wave activity." On March 18, Dr. Cook performed the second and final neurologic evaluation of Tara Hawkins, which revealed no evidence of any brain activity. \*\*136 Drs. Snyder and Jackson performed the second and final apnea test; they determined that Tara Hawkins "failed," again having demonstrated no spontaneous respiration. Dr. Cook deposed that nothing about the apnea testing affected the condition of the brain stem. Based upon the results of the neurologic assessments and the apnea tests on March 16 and March 18, 2004, Dr. Cook determined that Tara Hawkins did not have any brain function or brain stem function and pronounced her dead on March 18. Drs. Snyder and Jackson agreed and decided not to reimplement ventilatory support.

Drs. Cook, Jackson, and Snyder each deposed that, in his professional judgment, the brain death testing and apnea testing conducted on March 16 and March 18 were reasonably necessary under the circumstances to assess the clinical status of Tara Hawkins. Dr. Jackson further deposed that it would have been outside the standard of care *not* to perform the brain death testing. Moreover, the hospital had a written

policy on brain-based determination of death, and Dr. Cook testified that the brain death determination was conducted in accordance therewith.

On March 19, 2004, the county's chief medical examiner performed an autopsy on Tara Hawkins's body. That pathologist noted that the "brain fragmented as attempts were made to remove it" and described a "markedly edematous and near liquified brain" with "severe global encephalomalacia." He opined that Tara Hawkins had suffered from, inter alia, "[i]schemic/hypoxic/encephalopathy deteriorating to brain death." Having also reviewed Tara Hawkins's medical records, he further opined that on November 22, 2003, Tara Hawkins suffered significant injury to one or both of her vertebral arteries, causing a significant reduction in blood flow to her brain stem that resulted in respiratory and cardiac arrest for a significant period of time. Additionally, the pathologist opined, "To a reasonable degree of medical probability, [Tara] Hawkins had been brain dead for many months prior to her autopsy on March 19, 2004."

As part of the autopsy, an associate medical examiner for the county examined Tara Hawkins's brain and spinal cord. According to that pathologist, who had expertise in neuropathology and forensic \*213 pathology, "Microscopic examination of the brain revealed global ischemic necrosis with a 'mummified' appearance. In addition, the spinal cord demonstrated extensive liquification." He opined also that, to a reasonable degree of medical probability, Tara Hawkins had been brain dead for many months prior to her autopsy.

## Defendants and Theories of Recovery

In May 2006, Hawkins filed suit. Originally, the complaint named three defendants: (i) Dr. Nash (the neurologist, whom she discharged from her daughter's medical team in December 2003), alleging that he had committed medical malpractice by failing to properly diagnose and treat vascular injuries in Tara Hawkins's neck, thereby causing harm to both Tara Hawkins and to her unborn child; (ii) DeKalb Neurology Associates, LLC, alleging liability based upon a theory of respondeat superior for Nash's acts and omissions; and (iii) DeKalb Medical Center, Inc. (DMC), setting forth a count of "tortious termination of life support." Hawkins alleged that she had "objected to the termination of Tara's life support for months against the adamant and erroneous opinions that Tara was 'brain dead,' " and that "Tara died when DeKalb Medical Center terminated life support for Tara without the informed

consent of Nonnie Hawkins or any member of Tara's family and without any authorization or order from a court of law."

Notably, early in the litigation, DMC filed a motion to dismiss this claim, arguing that Hawkins had failed to timely file the action and had failed to comply with the expert affidavit requirements of OCGA § 9–11–9.1. <sup>4</sup> In the first appearance of this case before this court, *DeKalb Med. Center v. Hawkins*, <sup>5</sup> we affirmed the trial court's denial of DMC's motion, having determined that Hawkins's "claim was not a medical malpractice action \*\*137 requiring an expert medical affidavit under OCGA § 9–11–9.1" <sup>6</sup> and that the claim was not time barred. <sup>7</sup>

Thereafter, Hawkins amended her complaint. In 2008, she added as medical malpractice defendants: (i) Dr. Sue (the emergency medicine physician); and (ii) Dr. Pollock (the pulmonologist whom she discharged from her daughter's medical team in December 2003). Hawkins alleged that they had committed medical malpractice by failing to properly diagnose and treat vascular injuries in Tara Hawkins's neck, causing harm to both her and to her unborn child. \*214 She also added as a defendant Pulmonary & Sleep Specialists, P.C., under a theory of respondeat superior for Dr. Pollock's acts and omissions.

In 2009, Hawkins filed a second amended complaint, adding as two defendants: Drs. Jackson and Snyder, alleging that these two pulmonologists were liable also for wrongful death by "[t]ortious [t]ermination of [l]ife [s]upport." In this pleading, Hawkins alleged that although she had "adamantly objected to the termination of Tara's life support," defendants DMC, Dr. Jackson, and Dr. Snyder "terminated Tara Hawkins's life support without Court Order and without the informed consent of Nonnie Hawkins or any member of Tara's family." Hawkins also added as a defendant Southeastern Lung Care, P.C., alleging that under a theory of respondeat superior, the entity was liable for the acts and omissions of Drs. Jackson and Snyder.

Also in her second amended complaint, Hawkins included two new theories of recovery against DMC. In one of the newly added counts, Hawkins alleged breach of contract, citing language in the Admission Consent Form that she signed the day her daughter was admitted to the hospital. Specifically, in the "Advance Directives" section, the form stated: "I acknowledge and understand my rights, as an adult patient, to make decisions regarding treatment, *including* 

the right to consent to, refuse or alter treatment, and to formulate advance directives which will govern should I become incapacitated." Hawkins alleged, "Defendant DeKalb Medical Center's discontinuation of mechanical ventilation, withdrawal of care, and termination of life support for Tara Hawkins [constituted] a breach of the parties' contract."

In the second newly added count against DMC, Hawkins claimed that it was vicariously liable for the alleged medical malpractice committed by Dr. Sue (the emergency medicine doctor). In the lawsuit, Hawkins sought numerous types of damages, as well as costs of litigation.

# Summary Judgment Motions and Trial Court's Rulings

Wrongful death defendants DMC, Dr. Jackson, Dr. Snyder, and Southeastern Lung Care sought summary judgment on the respective claims against them for tortious termination of life support and breach of contract. They argued that they had acted appropriately in connection with terminating mechanical ventilation for Tara Hawkins. They cited Georgia's Determination of Death Act, OCGA § 31–10–16, which not only sets forth criteria for determining death, \*215 but provides also for immunity in specified circumstances. Hawkins countered that her daughter was not brain dead on March 18, 2004 (prior to the final apnea test) and that discontinuation of her child's life support required either her consent or judicial approval, of which the defendants had neither. In July 2010, the trial court denied the defendants' summary judgment motions.

The following month, the trial court granted a summary judgment motion that was jointly filed by those defendants charged with medical malpractice (Drs. Sue, Nash, and Pollock, as well as entities DMC, DeKalb Neurology Associates, and Pulmonary & Sleep Specialists) on Hawkins's claims for past and future lost wages of Tara Hawkins and for loss of earning capacity of Tara Hawkins.

After entry of a consolidated pretrial order, Hawkins filed in August 2010 a notice of \*\*138 appeal, <sup>9</sup> contesting (inter alia) the grant of summary judgment against her with respect to damages. <sup>10</sup> Thereupon, a joint notice of cross-appeal was filed by DMC, Dr. Jackson, Dr. Snyder, and Southeastern Lung Care, contesting the denials of their summary judgment motions. <sup>11</sup>

In this opinion, we address first the cross-appeal, Case No. A11A1007, as holdings therein either resolve or render moot many of the contentions raised in Case No. A11A1006.

#### Case No. A11A1007

Wrongful death defendants DMC, Dr. Jackson, Dr. Snyder, and Southeastern Lung Care contend that the trial court erred in denying their motions for summary judgment on Hawkins's respective claims against them. They cite OCGA § 31–10–16:

- (a) A person may be pronounced dead by a qualified physician ... if it is determined that the individual has sustained ... (2) irreversible cessation of all functions of the entire brain, including the brain stem.
- (b) A person who acts in good faith in accordance with the provisions of subsection (a) of this Code section shall not be liable for damages in any civil action. ...
- \*216 (c) The criteria for determining death authorized in subsection (a) of this Code section shall be cumulative to and shall not prohibit the use of other medically recognized criteria for determining death.

These defendants maintain that, prior to the apnea testing on March 18, Tara Hawkins suffered irreversible cessation of all functions of the entire brain, including the brain stem, and therefore was brain dead; <sup>12</sup> that she was thus pronounced dead in good faith; that they acted appropriately in not reimplementing mechanical ventilation; and therefore, they cannot be held liable for damages in this suit. Drs. Jackson and Snyder add, "Nothing can be more basic to a physician's assessment of a patient than determining if the patient is alive or dead," and "[t]here is no duty in Georgia for a physician to continue to treat a dead patient."

The trial court denied their motions for summary judgment because it determined that there were factual disputes to be resolved by a jury concerning: (a) "whether Tara Hawkins was brain dead as of March 18, 2004"; (b) whether these defendants had acted in good faith pursuant to OCGA § 31–10–16(b) "when they terminated the life support for Tara Hawkins on March 18, 2004"; and (c) whether the defendant DMC had "Hawkins' consent to disconnect life support." For reasons that follow, we agree with the defendants that the evidence created "no genuine issue as to any material fact" <sup>13</sup>

on the claims against them, and that therefore, their motions for summary judgment should have been granted.

- 1. We turn first to these defendants' motions on Hawkins's theory of recovery against them for wrongful death by tortious termination of life support. In opposing the motions, Hawkins maintained before the trial court that she "was falsely informed by the [d]efendants that Tara was brain dead when she was not brain dead" and that the defendants "DeKalb Medical Center and Drs. Jackson and Snyder killed her by turning off her ventilator on March 18, 2004."
- \*\*139 [1] While Hawkins thus claimed that it was the termination of mechanical ventilation support that caused her daughter's death, these defendants argued that it was Tara Hawkins's brain death that led to the termination of that (as well as all other life-sustaining) support. Resolution of this issue (which resulted from the other), in \*217 accordance with *Cowart v. Widener*, <sup>14</sup> required expert evidence. And Hawkins's failure to adduce such evidence on this issue doomed her claims that the defendants were liable for wrongful death by tortious termination of life support.
- (a) In *Cowart*, the Supreme Court of Georgia drew a distinction between issues that would require expert evidence and those that would not. On the one hand, the Court explained, "[w]here the causal link between the defendant's conduct and the decedent's injury can be determined by a lay jury without expert guidance, no expert evidence need be produced to defeat a defense motion for summary judgment." <sup>15</sup> As an example, the Court provided: "[I]n a wrongful death action based on the theory that the defendant proximately caused the decedent's death by stabbing her in the gut, the plaintiff is not required, in response to a motion for summary judgment, to come forward with expert testimony explaining in medical terms precisely how the wound led to her death." <sup>16</sup>

On the other hand, the Court continued, "sometimes the link between a defendant's actions and the plaintiff's injury is beyond common knowledge and experience." <sup>17</sup>

Thus, in deciding whether the plaintiff is required to come forward with expert testimony to withstand a defense motion for summary judgment, the critical question is not whether the causation element involves a "medical question" in the generic sense of the term. Rather, it is whether, in order to decide that the defendant's conduct

proximately caused the plaintiff's injury, a lay jury would have to know the answers to one or more "medical questions" that, as the case law has defined that term, can be answered accurately only by witnesses with specialized expert knowledge. To \*218 make the term more clearly reflect its use in deciding cases, we will now refer to "specialized medical questions." <sup>18</sup>

Given the circumstances underlying this case, whether Tara Hawkins was brain dead prior to apnea testing on March 18, 2004 constituted a specialized medical question to be answered by medical experts. <sup>19</sup> In moving for summary judgment, DMC, Dr. Jackson, Dr. Snyder, and Southeastern Lung Care relied upon the medical testimony of Tara Hawkins's treating physicians, as well as the physicians who conducted the autopsy. Each physician testified that Tara Hawkins had suffered brain death prior to the apnea testing on March 18.

- \*\*140 Consequently, it was incumbent on Hawkins to come forward with expert evidence to give rise to a triable issue on the specialized medical question. <sup>20</sup> She did not do so; instead, she relied on lay witnesses' accounts that Tara Hawkins was crying, "overbreathing the ventilator," and moving her hand in response to pleas. Also, Hawkins pointed to the birth of E. H.
- [2] Tara Hawkins was observed "crying." Hawkins's friend of 20 years deposed that, while alone with Tara Hawkins, "I was reading to Tara and talking to Tara and having a general conversation with Tara about some stuff that was going on with me and tears started to slip out of her eyes like she heard me." The friend noted, however, that the incident occurred near the "end [of] January, before Valentine's Day." This lay witness's account fell short of creating a genuine issue of material fact as to whether, prior to the apnea test on March 18, Tara Hawkins had suffered brain death.
- [3] Tara Hawkins was observed "moving her hand on command." Hawkins's longtime friend recalled that she was with Hawkins, who had assembled family and friends in Tara Hawkins's hospital room on March 18, because that was the "last day they were going to do this last test, make their assessment and decide whether to keep Tara on the ventilator or life support.... And while we were talking \*219 about it and we were praying over Tara, she moved her finger, thumb or index." Hawkins then pleaded with her daughter to wake up, open her eyes, or somehow show that "you're really here"; Tara Hawkins's hand moved; "[Hawkins] got really excited

and went out in the hall and called some doctors in to see that Tara was really moving."

The obstetrician deposed that, on March 18, Hawkins reported that Tara Hawkins had been exhibiting twitching in her hand. She recalled that Hawkins expressed her belief that the twitching was significant. The physician deposed, however, that she had never seen Tara Hawkins's hand move on any prior occasions and that any hand movement on March 18 was of no clinical significance.

A nurse deposed that, on March 18, "[Tara Hawkins] did have some twitching of her right thumb at one point." However, she testified, "I could not witness to the fact that I thought it was anything other than random. It did not appear to be on demand.... I won't say it was in response to Hawkins." Further, the nurse recalled, several physicians came to Tara Hawkins's bedside, and Dr. Cook performed a thorough neurological examination and found no indication of any brain function.

- Dr. Cook deposed that, under the circumstances, the hand movement was no indication of any independent brain stem function, but was a manifestation of a working peripheral nervous system. The neurologist explained, "There are other parts of the nervous system ... [that] can still work with a—in the absence of a brain.... Those are the sorts of things we were seeing here." He added, "[O]bviously we have the benefit of hindsight here where we know the medical examiner's finding, and a brain that is as described by the medical examiner can't do this."
- [4] Tara Hawkins was observed "overbreathing the ventilator." Hawkins deposed that periodically, including on March 18, her daughter was "overbreathing the ventilator." By that, she was referring to her observation of discrepancies between the ventilator's setting and the number of patient breaths (per minutes) being registered by the machine.

When asked hypothetically about a discrepancy, Dr. Cook answered that he could not "explain everything that those machines do. I'm not that familiar with the mechanical features of ventilators to know how much of a discrepancy is a discrepancy of significance, nor how much can be induced by external factors." In addition, he explained that a discrepancy would not necessarily mean that there is brain stem function; and in this case, appropriate brain death testing \*\*141 was conducted and revealed no evidence of any brain function or brain stem function.

[5] *E.H. was born.* Hawkins pointed to the birth of E.H., as well as \*220 a notation on Tara Hawkins's autopsy report of "[1]actating breasts." But Dr. Cook deposed that E.H.'s birth had not affected his determination of brain death and that the circumstances of the baby's birth did not indicate any brain function. And despite the cited autopsy notation, both pathologists involved in conducting the autopsy nevertheless concluded that Tara Hawkins had been brain dead for many months prior to the March 19 autopsy.

A determination of whether the observations of lay witnesses, individually or collectively, showed that Tara Hawkins was not brain dead before the final apnea testing and termination of ventilatory support on March 18 required expert evidence. Without such, a conclusion that Tara Hawkins was not brain dead would be one based on pure speculation or conjecture. "Summary judgment cannot be avoided based on speculation or conjecture; once the pleadings are pierced with actual evidence, the plaintiff must point to admissible evidence showing a genuine issue of fact." <sup>21</sup> Confronted with the defendants' expert evidence that Tara Hawkins was brain dead before the final apnea testing occurred, Hawkins failed to adduce any such evidence to the contrary, leaving the record on this issue undisputed.

[T]o prevail on a motion for summary judgment, the moving party must demonstrate that there is no genuine issue of material fact, so that the party is entitled to judgment as a matter of law. A defendant may do this by either presenting evidence negating an essential element of the plaintiff's claims or establishing from the record an absence of evidence to support such claims.... Where a defendant moving for summary judgment discharges this burden, the nonmoving party cannot rest on its pleadings, but rather must point to specific evidence giving rise to a triable issue. <sup>22</sup>

Because the uncontroverted expert evidence thus established that Tara Hawkins was brain dead before the final apnea test was conducted, Hawkins cannot show that the termination of mechanical ventilation caused her daughter's death. <sup>23</sup> Where, as here, "there is \*221 no evidence sufficient to create a genuine issue as to any essential element of [a] plaintiff's claim, that claim tumbles like a house of cards." <sup>24</sup> For this reason, we conclude that DMC, Dr. Jackson, Dr. Snyder, and Southeastern Lung Care were entitled to summary judgment on Hawkins's claims of wrongful death by tortious termination of life support. <sup>25</sup>

- (b) Consequently, with respect to these tort claims, we need not consider whether these defendants were entitled (also) to immunity under OCGA § 31–10–16. <sup>26</sup> And contrary \*\*142 to the trial court's determination, a jury need not decide whether these defendants acted in good faith pursuant to OCGA § 31–10–16 so as to entitle them to that statute's immunity. <sup>27</sup>
- (c) Hawkins maintains that the evidence was in dispute regarding whether she consented to the termination of life support.
- [6] [7] For reasons set forth above, there is no evidence that Tara Hawkins's death was *caused* by any lack of consent. Therefore, even accepting, arguendo, that a factual dispute exists as to whether Hawkins consented to either the brain death testing or to the termination of mechanical ventilation, <sup>28</sup> such an evidentiary conflict affords no escape from summary judgment on the wrongful death claims. "A plaintiff cannot avoid summary judgment by pointing to contradictory evidence in the record on an issue that makes no difference to the legal analysis." <sup>29</sup>

\*222 Nothing in the cases of *In re Jane Doe*, <sup>30</sup> *In re L.H.R.*, <sup>31</sup> *Velez v. Bethune*, <sup>32</sup> cited by Hawkins, supports her argument that termination of life support required her consent or a court order. There is a crucial distinction between those cases and the instant one. In those cases, the evidence had not undisputedly established that the patient was brain dead prior to the termination of mechanical ventilation or other life-sustaining measures. <sup>33</sup> Because those cases are inapposite, Hawkins's reliance upon them is misplaced.

Hawkins also cites language within our prior decision in this case, *DeKalb Med. Center*, <sup>34</sup> that the issue in this case is "whether [DMC] committed an intentional tort when it deliberately terminated [Tara Hawkins's] life support *without the consent of the decedent, her family, or the court, and over the objections of the decedent's mother*." <sup>35</sup> When this case was previously before us, we were considering whether Hawkins's wrongful death claim (at that time filed only against DMC) was barred as either untimely filed or filed unaccompanied by an expert affidavit pursuant to OCGA § 9–11–9.1. <sup>36</sup> At that early stage, the litigation had not yet advanced to the point that Hawkins's claims were under attack by summary judgment motions (for failure to present

evidence giving rise to a \*\*143 triable issue). <sup>37</sup> Given the inapposite procedural posture of our prior decision, the language isolated therefrom is unavailing to Hawkins at this appellate juncture. <sup>38</sup>

- DMC contends that the trial court erred in denying its motion \*223 for summary judgment on Hawkins's breach of contract claim.
- [8] Hawkins claimed that the brain death testing and the termination of life support were done without her consent, contrary to cited language within the "Advance Directives" section of the Admission Consent Form. And as she explains, "This is a wrongful death case, arising from the tortious, unconsented to, discontinuation of Tara Hawkins' life support by the Appellees. .... The brain death testing, for which there was no consent, is part of the underlying act that led to Tara Hawkins' wrongful death."

DMC countered that Hawkins consented to the brain death testing, citing language within the "Consent to Routine Procedures & Treatments" section. And DMC disputed that it needed Hawkins's consent to terminate mechanical support after brain death testing revealed that Tara Hawkins was brain dead and she was therefore pronounced dead.

DMC alternatively argued that, irrespective of any consent issue, the breach of contract claim was a mere repackaging of Hawkins's tort claim and thus failed for the same reason: The undisputed expert evidence established that neither the brain death testing nor the termination of life support *caused* Tara Hawkins's death because, prior to both of these events, she already had sustained brain death. <sup>39</sup> On appeal, DMC posits:

[The] apnea and brain death tests no more CAUSE death to a patient than x-rays CAUSE a bone fracture or MRIs CAUSE a brain tumor. Rather, all of these tests are done to elicit information about a patient that **ALREADY EXISTS WITHIN THE PATIENT BUT IS NOT YET KNOWN TO THE PATIENT'S PHYSICIAN.** For a fracture to show up on an x-ray, the patient's bone must ALREADY be broken; for a tumor to show up on an MRI, the tumor must ALREADY exist. Similarly, for apnea and brain death tests on Tara to demonstrate death, Tara must ALREADY be dead. <sup>40</sup>

Notably, as was decided early in the litigation, Hawkins asserted no medical malpractice claim against DMC with respect to either the brain death testing or the disconnection

of life support equipment. \*224 And as we concluded in Division 1, \*42 there is no evidence that either the brain death testing or the subsequent termination of ventilatory support caused Tara Hawkins's death; this conclusion remains true, irrespective of whether DMC needed but failed to obtain either Hawkins's consent or a court order. \*43 Because any damages sought by Hawkins therefore did not result from the alleged failure to obtain either her consent or a court's order, \*44 \*\*144 the trial court erred by not granting summary judgment to DMC on Hawkins's breach of contract claim. \*45

[9] Hawkins persists: "'Touching' another human being without consent is actionable," relying on cases such as *Prince v. Esposito* 46 that recognize, "An action for battery arises in the medical context when a medical professional makes unauthorized contact with a patient during examination, treatment, or surgery." 47 Plainly, those cases are inapposite and do not provide for an outcome in her favor on DMC's motion for summary judgment on her breach of contract theory.

While an evidentiary dispute may have arisen as to the issue of consent, DMC's motion for summary judgment upon this theory of recovery should have been granted.

### Case No. A11A1006

[10] 3. Hawkins contends that the trial court erred in granting the summary judgment motion filed jointly by DMC and the other medical malpractice defendants on her claim for past and future lost wages of Tara Hawkins and for loss of earning capacity of Tara Hawkins.

The trial court granted the motion after having determined that \*225 the medical evidence showed that the traumatic injuries suffered by Tara Hawkins before her arrival at the hospital's emergency room had already rendered her incapable of any future employment, irrespective of any alleged medical malpractice thereafter. On appeal, Hawkins cites no pertinent evidence that the trial court overlooked, asserting instead that she "provided substantial evidence about Tara's interest, talents, and aspirations." This assertion provides no basis for reversing the summary judgment. <sup>48</sup>

4. Hawkins contends that the trial court erred by unilaterally amending the consolidated pretrial order. She asserts, "The trial court's amendments effectively granted partial summary judgment on Appellants' claims for tortious termination of life support. Appellants appeal this erroneous ruling." Pretermitting whether this contention is properly before us, given our holdings in the preceding divisions, which leave Hawkins without any viable claim, challenges to an amended pretrial order are moot.

Judgment affirmed in Case No. A11A1006. Judgment reversed in Case No. A11A1007.

ANDREWS and McFADDEN, JJ., concur.

#### **Parallel Citations**

721 S.E.2d 131, 11 FCDR 3747, 100 A.L.R.6th 781

#### Footnotes

- 1 OCGA § 9–11–56(c).
- 2 Cowart v. Widener, 287 Ga. 622, 624(1)(a), 697 S.E.2d 779 (2010) (citation and punctuation omitted); Norton v. Budget Rent A Car System, 307 Ga.App. 501, 705 S.E.2d 305 (2010) (we review the denial of summary judgment de novo, viewing the evidence and all reasonable inferences therefrom in the light most favorable to the nonmoving party).
- 3 Dr. Jackson described, "[W]e superoxygenated [Tara Hawkins] and then they placed her on oxygen via blow-by which is going through a tube off the ventilator." And Dr. Cook described that, during the apnea test, "[Tara Hawkins] is getting oxygen, she's not getting repetitive positive pressure."
- 4 DeKalb Med. Center v. Hawkins, 288 Ga.App. 840, 843(1), 655 S.E.2d 823 (2007).
- 5 Supra.
- 6 Id. at 844(1), 655 S.E.2d 823.
- 7 Id. at 844–848(2), 655 S.E.2d 823.
- 8 (Emphasis supplied.) Hawkins's claim is premised primarily on the italicized language.
- Hawkins states in a brief, filed February 24, 2011, that the "trial court declined to send the entire case before this [c]ourt for review," that trial proceeded against the medical malpractice defendants, and that the jury returned verdicts in favor of those defendants.
- See Division 3, infra. See also OCGA § 9–11–56(h) ("An order granting summary judgment on any issue or as to any party shall be subject to review by appeal.").
- See OCGA §§ 5–6–38(a) (allowing for cross-appeals); 5–6–44(a) (allowing for joint appeals); *Southeast Ceramics v. Klem*, 246 Ga. 294–295(1), 271 S.E.2d 199 (1980) (denial of motion for summary judgment may be carried up as a cross-appeal to the appeal of the grant of a motion for summary judgment).
- See *Clay v. State*, 256 Ga. 797(4), 353 S.E.2d 517 (1987) (holding that evidence was sufficient to sustain malice murder conviction, where blows administered by defendant resulted in irreversible cessation of all functions of the brain and brain stem and victim continued to breathe and his heart continued to beat only because of a life support system) (citing OCGA § 31–10–16(a)).
- 13 OCGA § 9–11–56(c).
- 14 Supra. Although *Cowart* was a negligence case, and this is not, the instructions therein are applicable here.
- 15 Id. at 628(2)(b), 697 S.E.2d 779 (citations omitted).
- Id. As additional examples, the Court cited *Jester v. State*, 250 Ga. 119–120, 296 S.E.2d 555 (1982) ("[T]hat a stab wound penetrating entirely through the heart causes death, is not a matter ... which should even require expert testimony."), *Allstate Ins. Co. v. Sutton*, 290 Ga.App. 154, 160(3), 658 S.E.2d 909 (2008) ("[W]hether a blow to the head could cause death [is] a question that we have held to be within a lay person's knowledge"), and *Jordan v. Smoot*, 191 Ga.App. 74–75(1), 380 S.E.2d 714 (1989) (holding that whether an automobile collision caused a backache later the same day is not the type of medical question that requires expert testimony).
- 17 Cowart, supra.
- Id. at 629(2)(b), 697 S.E.2d 779. As examples, the Court cited Gilbert v. R.J. Taylor Mem. Hosp., 265 Ga. 580, 581 & n. 4, 458 S.E.2d 341 (1995) (whether plaintiff actually had cancer that required treatment), and Allstate Ins. Co., supra at 160, 658 S.E.2d 909 (whether exposure to mold caused plaintiff's respiratory ailments).
- See OCGA § 31–10–16 (authorizing "a qualified physician" to pronounce death if it is determined that individual has sustained "irreversible cessation of all functions of the entire brain, including the brain stem"); see generally *In re Bowman*, 94 Wash.2d 407, 617 P.2d 731 (1980) (holding that it is for the medical profession to determine the applicable criteria for deciding whether brain death is present and to define the acceptable practices taking into account new knowledge of brain function and new diagnostic procedures).

- See generally *Cowart*, supra at 627(2)(a), 697 S.E.2d 779 (even in simple negligence cases, plaintiffs must come forward with expert evidence to survive a defense motion for summary judgment, where medical questions relating to causation are involved).
- Id. at 633(3)(c), 697 S.E.2d 779 (citation omitted); see *Shadburn v. Whitlow*, 243 Ga.App. 555, 556, 533 S.E.2d 765 (2000) (an inference cannot be based upon evidence which is too uncertain or speculative or which raises merely a conjecture or possibility).
- 22 Cowart, supra at 623(1)(a), 697 S.E.2d 779 (citations and punctuation omitted); see Howard v. Walker, 242 Ga. 406, 408, 249 S.E.2d 45 (1978) (where plaintiff must produce an expert's opinion in order to prevail at trial, when the defendant produces an expert's opinion in his favor on motion for summary judgment and the plaintiff fails to produce a contrary expert opinion in opposition to that motion, there is no genuine issue to be tried by the jury).
- See generally *Cowart*, supra at 631(3)(a), 697 S.E.2d 779 (if event would have occurred irrespective of defendant's conduct, such conduct is not cause of event); *Bauer v. North Fulton Med. Center*, 241 Ga.App. 568, 569(1), 527 S.E.2d 240 (1999) ("it is an impossibility to kill or injure someone who is already deceased").
- 24 Lau's Corp. v. Haskins, 261 Ga. 491, 405 S.E.2d 474 (1991).
- See *Cowart*, supra; *Howard*, supra; *Allstate Ins. Co.*, supra; *Mobley v. Nabisco, Inc.*, 264 Ga.App. 352, 590 S.E.2d 741 (2003) (summary judgment was properly granted to defendant on wrongful death claim because plaintiff presented no evidence that victim was still alive at the time of defendant's complained-of act); see generally *In re Bowman*, supra.
- 26 Lau's Corp., supra (if there is no evidence sufficient to create a genuine issue as to any essential element of plaintiff's claim, that claim is subject to summary judgment, and "[a]ll of the other disputes of fact are rendered immaterial"); see Gallups v. Cotter, 534 So.2d 585 (Ala.1988) (where child had been diagnosed as brain dead and pronounced dead, and minor patient's father alleged in lawsuit that defendant doctors had committed against him the "tort of outrage" by removing the life support systems from his child's body contrary to the family's expressed wishes, summary judgment was properly granted to defendants because father failed to present evidence of an essential element of the alleged tort; therefore, the question whether the father's outrage claim was barred by immunity under state's brain death statute was not reached).
- 27 See *Lau's Corp.*, supra.
- 28 Defendants maintain that there is no evidence showing that Hawkins objected to brain-death testing.
- Deen v. Stevens, 287 Ga. 597, 612(3)(b), 698 S.E.2d 321 (2010) (citation omitted); see *Lau's Corp.*, supra; *Berry v. Hamilton*, 246 Ga.App. 608, 610, 541 S.E.2d 428 (2000) (when a party is relying on inferences to prove a point, not only must those inferences tend in some proximate degree to establish the conclusion sought, but they must also render less probable all inconsistent conclusions).
- 30 262 Ga. 389, 418 S.E.2d 3 (1992).
- 31 253 Ga. 439, 321 S.E.2d 716 (1984).
- 32 219 Ga.App. 679, 466 S.E.2d 627 (1995).
- See *In re Jane Doe*, supra at 389–391, 418 S.E.2d 3 (evidence showed that hospital patient with degenerative neurological disease varied between being in "stupor and coma," was placed on breathing and feeding tubes, but "was not in a chronic vegetative state and death was not imminent"); *In re L.H.R.*, supra at 439, 446–447, 321 S.E.2d 716 (concerning the right to refuse medical treatment for a patient in a "chronic vegetative state with no hope of development of cognitive function"; concluding that the decision whether to end the *dying process* is one for family members or those who bear a legal responsibility for the patient, while courts remain open to assist with disagreement between decision-makers; not addressing, however, "the question of ... prior judicial approval in cases in which the issue is *life-prolonging* rather than death-prolonging treatment for incompetent patients") (emphasis supplied); *Velez*, supra at 680(2), 466 S.E.2d 627 (evidence was in conflict as to whether hospital patient was clinically dead at the time in question).
- 34 Supra.
- 35 DeKalb Med. Center, supra at 844, 655 S.E.2d 823 (emphasis supplied).
- 36 Id. at 841, 655 S.E.2d 823.
- 37 See *Ogden Equip. Co. v. Talmadge Farms*, 232 Ga. 614, 615, 208 S.E.2d 459 (1974) (a motion for summary judgment is designed to test the *merits* of the claim).
- 38 See generally *May v. Macioce*, 200 Ga.App. 542, 544(2), 409 S.E.2d 45 (1991) (appellate court holdings as to pleadings and evidence are not binding as the law of the case, where the evidentiary posture of the case in the trial court changes subsequent to the appellate court decision).
- 39 See OCGA § 31–10–16(a).
- 40 (Emphasis in original.)
- As we noted in the first appearance of this case before us, Hawkins is "not claiming that DMC negligently cared for [Tara] Hawkins prior to terminating her life support or that it negligently performed the actual process of turning off and disconnecting the life support equipment." *DeKalb Med. Center*, supra at 842(1), 655 S.E.2d 823.
- 42 Supra.

- 43 See Division 1(c), supra.
- See *Kuritzky v. Emory Univ.*, 294 Ga.App. 370, 371(1), 669 S.E.2d 179 (2008) ("The elements for a breach of contract claim in Georgia are the (1) breach and the (2) resultant damages (3) to the party who has the right to complain about the contract being broken.") (citation omitted); see also OCGA § 13–6–2 ("Damages recoverable for a breach of contract are such as arise naturally and according to the usual course of things from such breach and such as the parties contemplated, when the contract was made, as the probable result of its breach."); *Bauer*, supra at 572(3)(b), 527 S.E.2d 240 ("Damages growing out of a breach of contract ... must be such as could be traced solely to breach, be capable of exact computation, must have arisen according to the usual course of things, and be such as the parties contemplated as a probable result of such breach.").
- 45 *Lau's Corp.*, supra (lack of sufficient evidence on any essential element of plaintiff's claim renders all other factual disputes, as to that claim, immaterial).
- 278 Ga.App. 310, 628 S.E.2d 601 (2006). Hawkins cites also *King v. Dodge County Hosp. Auth.*, 274 Ga.App. 44, 45, 616 S.E.2d 835 (2005) (recognizing that a cause of action for battery exists when objected-to treatment is performed without the consent of, or after withdrawal of consent by, the patient).
- 47 *Prince*, supra at 311(1)(a), 628 S.E.2d 601 (punctuation and footnote omitted).
- See generally *Zwiren v. Thompson*, 276 Ga. 498, 500, 578 S.E.2d 862 (2003) (a plaintiff cannot recover for medical malpractice, even where there is evidence of negligence, unless the plaintiff establishes by a preponderance of the evidence that the negligence either proximately caused or contributed to cause the harm).

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